

Appendix 2 Pro-forma for the Initial Equality Impact Assessment

Service	Finance	Section Accounts	Person responsible for the assessment		Head of Finance	
Name of the Function/Policy/Procedure to be assessed		Capital Strategy	Date of Assessment	17 January 2008	Is this a new or existing policy?	Existing policy being updated
1. Briefly describe the aims, objectives and purpose of the function/policy/procedure			Five year capital programme based on an assessment of likely capital resources available and other key assumptions.			
2. Are there any associated objectives of the function/policy/procedure? Please explain.			The programme provides capital investment into the Councils key priority objectives as well as service needs.			
3. Who is intended to benefit from the function/policy/procedure and in what way?			Members, officers, and, indirectly, residents. Provides details of future capital investment in services and infrastructure over the next five years.			
4. What outcomes are wanted from this function/policy/procedure?			Confirmation of level of capital investment into specific service areas together with how this investment will be funded.			
5. What factors/forces could contribute/detract from the outcomes?			Changes in the amount of assumed external resources, changes in timing in delivering the capital works, changes in Council priorities and changes in government policy and legislation.			
6. Who are the main stakeholders in relation to the function/policy/procedure?	CMT, Executive & Officers		7. Who implements the function/policy/procedure and who is responsible for it?		CMT & Officers	

8. Are there concerns that the function/policy/procedure could have a differential impact on racial groups?		N O	NO. Policies affect all areas of the borough equally; policies are directed chiefly at improvements in the way the Council delivers its services and in the area to both infrastructure and other service assets.
What existing evidence (either presumed or otherwise) do you have for this?	Discussions with officers operating the function. Policy affects way investment is directed and the Councils priority areas.		
9. Are there concerns that the policy could have a differential impact on men or women?		N O	NO. Policies affect all areas of the borough equally; policies are directed chiefly at improvements in the way the Council delivers its services and in the area to both infrastructure and other service assets. The policy does not take this into consideration.
What existing evidence (either presumed or otherwise) do you have for this?	Discussions with Members and officers operating the function.		
10. Are there concerns that the policy could have a differential impact on people with disabilities?	Yes		YES. There is investment directed specifically at disabled persons. Disabled facilities grants favour this group but are operated in accordance with government legislation and in order to maximise resources available.
What existing evidence (either presumed or otherwise) do you have for this?	Discussions with officers operating the function. Government legislation on disabled facilities grants. Policy directs a certain level of resources to disabled people.		
11. Are there concerns that the policy could have a differential impact on people due to their sexual orientation?		N O	NO. Policies affect all areas of the borough equally; policies are directed chiefly at improvements in the way the Council delivers its services and in the area to both infrastructure and other service assets. The policy does not take this into consideration.
What existing evidence (either presumed or otherwise) do you have for this?	Discussions with Members and officers operating the function		
12. Are there concerns that the policy could have a differential impact on people due to their age?	Yes		YES. There is investment directed specifically at the elderly. Renovation grants directed at delivering decent homes for vulnerable people (including the elderly) favour this group but are operated in accordance with government legislation and in order to maximise resources available
What existing evidence (either presumed or otherwise) do you have for this?	Discussions with officers operating the function. Government policy on decent homes in the private sector. Policy directs resources to vulnerable elderly people.		

13. Are there concerns that the policy could have a differential impact on people due to their religious beliefs?		N o	NO. Policies affect all areas of the borough equally; policies are directed chiefly at improvements in the way the Council delivers its services and in the area to both infrastructure and other service assets. The policy does not take this into consideration.
What existing evidence (either presumed or otherwise) do you have for this?	Discussions with Members and officers operating the function		
14. Are there concerns that the policy could have a differential impact on people due to them having dependants/caring responsibilities?		N o	NO. Policies affect all areas of the borough equally; policies are directed chiefly at improvements in the way the Council delivers its services and in the area to both infrastructure and other service assets. The policy does not take this into consideration.
What existing evidence (either presumed or otherwise) do you have for this?	Services do not extend to social services and education.		
15. Are there concerns that the policy could have a differential impact on people due to their offending past?		N o	NO. Policies affect all areas of the borough equally; policies are directed chiefly at improvements in the way the Council delivers its services and in the area to both infrastructure and other service assets. The policy does not take this into consideration.
What existing evidence (either presumed or otherwise) do you have for this?	Discussions with Members and officers operating the function		
16. Are there concerns that the policy could have a differential impact on people due to them being transgendered or transsexual?		N o	NO. Policies affect all areas of the borough equally; policies are directed chiefly at improvements in the way the Council delivers its services and in the area to both infrastructure and other service assets. The policy does not take this into consideration.
What existing evidence (either presumed or otherwise) do you have for this?	Discussions with Members and officers operating the function		
17. Are there concerns that the policy could have a differential impact on people due to their experience of poverty?	Yes		YES. There is investment directed specifically at poor people. Renovation grants are directed at delivering decent homes for vulnerable people and are means tested to favour this group. But the policy is operated in accordance with government legislation and in order to maximise resources available.

18. Could the differential impact identified in 8-17 amount to there being the potential for adverse impact in this policy?		NO	The differential impact relates to vulnerable people only and is set and operated in accordance with central government policy and legislation.		
19. Can this adverse impact be justified on the grounds of promoting equality of opportunity for one group? Or any other reason?	Yes		Yes, government policy and legislation is specifically designed to improve the quality of life and promote equality for these vulnerable groups.		
20. Should the policy proceed to a partial impact assessment		NO	21. If Yes, is there enough evidence to proceed to a full EIA?	N/A	
			22. Date on which Partial or Full impact assessment to be completed by	January 2008	

Signed (completing officer) _____ A Webster _____ Signed (Lead Officer) Ben Jay _____