

Appendix 2 Pro-forma for the Initial Equality Impact Assessment

Service	Finance	Section Accounts	Person responsible for the assessment		Head of Finance	
Name of the Function/Policy/Procedure to be assessed		Treasury Management Strategy	Date of Assessment	17 January 2008	Is this a new or existing policy?	Existing policy being updated
1. Briefly describe the aims, objectives and purpose of the function/policy/procedure			Policy for dealing with treasury management function (day to day cash flow) including setting of prudential indicators and other monitoring measures.			
2. Are there any associated objectives of the function/policy/procedure? Please explain.			Ensuring that the Council continues to operate within the resources available to it. Ensuring that the Council operates within prudent levels for borrowing and investments.			
3. Who is intended to benefit from the function/policy/procedure and in what way?			Members, officers, and, indirectly, residents.			
4. What outcomes are wanted from this function/policy/procedure?			Confirmation of operational policies and limits based on certain assumptions including money market interest rates.			
5. What factors/forces could contribute/detract from the outcomes?			Changes in money market and specifically interest rates. Changes in levels and rates of both capital and revenue spend. Changes in collection rates for rents, NNDR, Council Tax and sundry debtors.			
6. Who are the main stakeholders in relation to the function/policy/procedure?	Executive & Officers		7. Who implements the function/policy/procedure and who is responsible for it?		Head of Finance	

8. Are there concerns that the function/policy/procedure could have a differential impact on racial groups?	N	O	Policies affect all areas of the borough equally; policies are directed chiefly at operation of the Councils treasury management function that has no direct interaction with residents.
What existing evidence (either presumed or otherwise) do you have for this?	Discussions with officers operating the function. Policy affects way officers carry out duties and relate mainly to accounting concepts and procedures.		
9. Are there concerns that the policy could have a differential impact on men or women?	NO	NO. This is not a consideration.	
What existing evidence (either presumed or otherwise) do you have for this?	Discussions with officers operating the function. Policy affects way officers carry out duties and relate mainly to accounting concepts and procedures.		
10. Are there concerns that the policy could have a differential impact on people with disabilities?	No	NO. This is not a consideration.	
What existing evidence (either presumed or otherwise) do you have for this?	Discussions with officers operating the function. Policy affects way officers carry out duties and relate mainly to accounting concepts and procedures.		
11. Are there concerns that the policy could have a differential impact on people due to their sexual orientation?	No	NO. This is not a consideration.	
What existing evidence (either presumed or otherwise) do you have for this?	Discussions with officers operating the function. Policy affects way officers carry out duties and relate mainly to accounting concepts and procedures.		
12. Are there concerns that the policy could have a differential impact on people due to their age?	No	NO. This is not a consideration.	
What existing evidence (either presumed or otherwise) do you have for this?	Discussions with officers operating the function. Policy affects way officers carry out duties and relate mainly to accounting concepts and procedures.		
13. Are there concerns that the policy could have a differential impact on people due to their religious beliefs?	No	NO. This is not a consideration.	

What existing evidence (either presumed or otherwise) do you have for this?	Discussions with officers operating the function. Policy affects way officers carry out duties and relate mainly to accounting concepts and procedures.	
14. Are there concerns that the policy could have a differential impact on people due to them having dependants/caring responsibilities?	No	NO. This is not a consideration.
What existing evidence (either presumed or otherwise) do you have for this?	Services do not extend to social services and education.	
15. Are there concerns that the policy could have a differential impact on people due to their offending past?	No	NO. This is not a consideration.
What existing evidence (either presumed or otherwise) do you have for this?	Policies affect all areas of the borough equally; policies are directed chiefly at assets and existing services	
16. Are there concerns that the policy could have a differential impact on people due to them being transgendered or transsexual?	No	NO. This is not a consideration.
What existing evidence (either presumed or otherwise) do you have for this?	Policies affect all areas of the borough equally; policies are directed chiefly at operation of the Councils treasury management function that has no direct interaction with residents.	
17. Are there concerns that the policy could have a differential impact on people due to their experience of poverty?	No	NO. This is not a consideration.
18. Could the differential impact identified in 8-17 amount to there being the potential for adverse impact in this policy?	NO	There is no differential impact.
19. Can this adverse impact be justified on the grounds of promoting equality of opportunity for one group? Or any other reason?	NO	There is no differential impact.

20. Should the policy proceed to a partial impact assessment		NO	21. If Yes, is there enough evidence to proceed to a full EIA?	N/A	
			22. Date on which Partial or Full impact assessment to be completed by		January 2008

Signed (completing officer) _____ A Webster _____ Signed (Lead Officer) Ben Jay _____